

UNITED STATES DEPARTMENT OF COMMERC United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Dox 1450 Alexandria, Virginia 22313-1450

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/635,265

08/06/2003

John D. York

180/158/2

25297 JENKINS & WILSON, PA 3100 TOWER BLVD **SUITE 1400** DURHAM, NC 27707

CONFIRMATION NO. 1379 FORMALITIES LETTER *OC00000013627227*

Date Mailed: 08/24/2004

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Filing Date Granted

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

· A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

W. Mohammed
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

JENKINS WILSON TAYLOR

RICHARD E. JENKINS

JEFFREY L. WILSON

ARLES A. TAYLOR, JR.

GREGORY A. HUNT

E. ERIC MILLS

BENTLEY J. OLIVE

MICHAEL J. CROWLEY

*CHRIS PERKINS, PH.D.

"JAMES DALY IV, PH.D.

JEFFREY CHILDERS, PH.D.

OF COUNSEL SOROJINI BISWAS

LICENSED ONLY IN CA

"LICENSED ONLY IN KY

patent attorneys

October 19, 2004



Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Re:

U.S. Patent Application Serial No. 10/635,265 for NOVEL TARGETS FOR LITHIUM THERAPY

Cathi H. Turner Date of Signature:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope

addressed to the Commissioner Alexandria, VA 22313-1450 on

Our Ref. No. 180/158/2

Sir:

Enclosed please find the following:

- 1. Response to Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (2 pages);
- 2. Copy of Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (2 pages);
- 3. Sequence Listing in paper (28 pages) and computer readable form (CRF);
- 4. Statement That Sequence Listing and Computer Readable Copy Are The Same (1 page); and
- 5. A return-receipt postcard to be returned to our offices with the U.S. Patent and Trademark filing stamp thereon.

Please contact our offices if there are any questions with this matter.

The Commissioner is hereby authorized to charge any fees associated with the filing of this correspondence to Deposit Account Number 50-0426.

Respectfully submitted,

JENKINS, WILSON & TAYLOR, P.A.

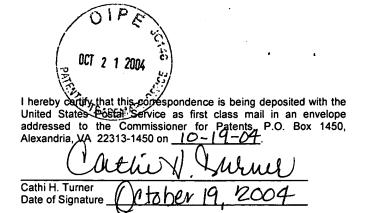
Arles A. Taylor, Jr.

Registration No. 39,395

AAT/JD/cht

Customer No: 25297 Enclosures

tel 919.493.8000 | fax 919.419.0383 | JenkinsWilsonTaylor.com



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: York et al.

Group Art Unit: Not Assigned

Serial No.: 10/635,265

Examiner: Not Assigned

Filed: August 6, 2003

Docket No.: 180/158/2

Confirmation No.: 1379

For:

NOVEL TARGETS FOR LITHIUM THERAPY

RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the "Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures" dated August 24, 2004, and having a period for response set to expire on October 24, 2004, please find enclosed a signed "Statement The Sequence Listing and Computer Readable Copy are the Same".

A copy of the Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures is being filed simultaneously.

App. Serial No.: 10/635,265

<u>REMARKS</u>

A substitute Sequence Listing in both computer readable form and a paper

copy is being provided herewith which corrects alleged errors cited by the Patent

Office. Specifically, SEQ ID NO: 3 has been amended in the substitute Sequence

Listing to remove the variable length of the X's in the sequence. Support for the

change can be found in the specification at page 31, lines 15-18 wherein it lists SEQ

ID NO: 3, including X_n and states that X is any amino acid and n is any integer.

Therefore, no new matter has been added by the amendment to the Sequence

Listing.

Additionally, Applicants wish to note that the changes to the Sequence Listing

were made solely to comply with Sequence Listing rules of form and should not be

construed in any way to limit the presently disclosed subject matter of the pending

application.

Although it is believed that no fee is due, the Commissioner is hereby

authorized to charge any fees associated with the filing of this correspondence to

Deposit Account No. 50-0426.

Respectfully submitted,

JENKINS, WILSON & TAYLOR, P.A.

By:

Taylor, Jr.

Registration No. 39,395

180/15/2

AAT/JD/cht

Enclosures

Customer No: 25297

- 2 -